

ADVISOR PROFILE



NAME

MOBILE #

EMAIL

YOUR CURRENT PRACTICE

YEARS IN BUSINESS

ASSETS UNDER MANAGEMENT (AUM)

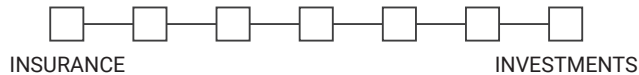
\$

T12 REVENUE

\$

CURRENT BD

CURRENT PRODUCT MIX:



IF YOU HAVE A CURRENT PROMISSORY NOTE, WHAT ARE THE TERMS?

YOUR TRANSITION JOURNEY

WHERE ARE YOU AT IN YOUR PROCESS?

- ☐ JUST STARTING OUT
- ☐ EARLY ON/I HAVE SECURED AN OFFER(S)
- ☐ ABOUT READY TO SIGN/GUT-CHECK TIME

I ALREADY HAVE OFFERS FROM

HOW SOON WOULD YOU LIKE TO MOVE?

KEY MOVE MOTIVATORS

	VERY IMPORTANT	IMPORTANT	NOT IMPORTANT
BRAND STRENGTH/REPUTATION	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
LATEST TECHNOLOGY	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
PRODUCTS	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
HELP BUYING PRACTICE	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
SUCCESSION PLANNING	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
MARKETING SUPPORT	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
OFFICE SPACE/ADMIN SUPPORT	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
STRONG CEO/MANAGEMENT	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
CORPORATE CULTURE	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

YOUR IDEAL SITUATION

- ☐ WIREHOUSE
☐ BROKER DEALER
☐ RIA
☐ DON'T KNOW/UNDECIDED

YOUR WANTS/NEEDS

	VERY IMPORTANT	IMPORTANT	NOT IMPORTANT
HOW IMPORTANT IS FINANCIAL PLANNING?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
...IS A BIG UPFRONT CHECK?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
...WILLING TO WORK WITH FINRA DINGS	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
...BD NOT OWNED BY VC FIRM	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
...LEADERSHIP TRAINING/CAREER DEV	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

MY IDEAL FIRM WOULD PROVIDE ME WITH

ANYTHING ELSE YOU'D LIKE TO SHARE ABOUT YOU/YOUR PRACTICE/YOUR GOALS?